

## **1.0 Department of Commerce**

### **Summary**

The Utah Department of Commerce is the licensing and registration agency for Utah's professional and corporate community. Business registrations, professional licensing, consumer protection and education, oversight of public utilities and the monitoring of the real estate and securities industries all fall within the scope of the Department.

Funding for the Department is primarily from the Commerce Service Fund. This is made up of fees generated by the Department. By statute, fees collected over the amount appropriated to the Department are to be deposited into the General Fund. Every year, an unused portion of the Commerce Service Fund is added to the General Fund. The Department also receives Dedicated Credits, Trust Funds, and a small amount of Federal Funds.

### **Department Mission Statement**

The mission statement of the Department reads: "The mission of the Utah Department of Commerce contributes to the success of Utah businesses, professionals and consumers by providing an environment that encourages the success of Utah businesses and professionals while protecting the rights and interests of consumers."

### **Utah Tomorrow**

The Utah Department of Commerce has been involved with Utah Tomorrow since its inception. Areas affected include Economic Development, Free Enterprise and Regulatory Systems. The Department contributes to the State business climate and the free enterprise opportunities for growth and expansion within the State. It works jointly with other State Departments, including the Department of Community and Economic Development and the Utah State Tax Commission.

The Department has established an elaborate system of goals, outcome measures and tracking items. Some of these are listed with the respective budgets.

**Financial Summary**

	<b>FY 1999</b>	<b>FY 2000</b>	
<b>Financing</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Commerce Service Fund	\$13,727,000	\$14,112,800	\$385,800
Federal Funds	124,900	135,600	10,700
Dedicated Credits	236,000	288,600	52,600
Trust Accounts	143,400	144,400	1,000
Transfers	25,000	25,000	0
Beginning Nonlapsing	815,900	254,600	(561,300)
Closing Nonlapsing	(254,600)	(65,100)	189,500
Lapsing	0	0	0
<b>Total</b>	<b>\$14,817,600</b>	<b>\$14,895,900</b>	<b>\$78,300</b>
<b>Programs</b>			
Administration	\$1,362,600	\$1,076,500	(\$286,100)
Occupational & Prof. Licensing	5,264,200	5,274,500	10,300
Securities	1,050,200	1,052,300	2,100
Consumer Protection	589,800	592,500	2,700
Corporations	1,431,300	1,433,400	2,100
Real Estate	780,500	790,000	9,500
Real Estate Education	144,000	142,400	(1,600)
Public Utilities	2,819,600	2,851,000	31,400
Public Utilities - P & T	150,000	150,000	0
Consumer Services	617,100	617,100	0
Consumer Services - P & T	385,000	374,500	(10,500)
Building Rent	223,300	223,300	0
Building Blocks	0	318,400	318,400
<b>Total</b>	<b>\$14,817,600</b>	<b>\$14,895,900</b>	<b>\$78,300</b>
Standard FTE	240.00	240.00	0.00
Expansion FTE	0.00	1.50	1.50

## 2.0 Budget Highlights: Department of Commerce

### 2.1 No Significant Ongoing Budget Change

There is no significant change to the budget for the Utah Department of Commerce. The Department requested several building blocks all addressing workload increases or new assignments made by the Legislature. The sum total is less than \$320,000 from the Commerce Service Fund.

### 2.2 Revenue, Oversight and Department Workload

The Analyst has brought the issue of revenue verses function to the attention of the Subcommittee regarding other budgets. The Department of Commerce also generates revenue that must be appropriated back to the Department for operation expenditures. The Department of Commerce produces a positive cash flow which is added to the General Fund. Sufficient revenue is available to fund small building blocks in an effort to improve efficiency and economy within the Department. The fees listed and requested by the Department generate an additional \$987,800 Commerce Service Fund.

	<b>FY 94</b>	<b>FY 95</b>	<b>FY 96</b>	<b>FY 97</b>	<b>FY 98</b>	<b>FY 99</b>
Revenue	12,061,463	18,838,503	19,573,870	18,237,625	19,241,746	19,785,000
Expenditure	9,640,678	11,706,161	12,459,139	12,420,266	13,871,318	N / A

### 2.3 Year 2000 Compliant Information Management Systems

The Department has requested a one-time appropriation of \$330,000 to upgrade systems to assure they are Y2K compliant. This request is being reviewed at the Capital Facilities Appropriations Subcommittee. Additional funds are sought through savings within the Department. Authority is recommended to enable the Department to use savings to replace aging technology and computer equipment. This authority should be used sparingly.

### 2.4 Attorney General Services

Increased costs of Attorney General Services have regularly been absorbed within existing budgets. A growing caseload and complexity of cases have combined to produce a backlog of cases. The Analyst recommends \$20,000 from the Commerce Service Fund to address the expenses related to attorney fees.

	<b>FY 2000</b>
<b>Ongoing Funding</b>	<b>Analyst</b>
	<u>\$20,000</u>
<b>Expenditures</b>	
Current Expense	\$20,000
<b>Total</b>	<b><u>\$20,000</u></b>

**2.5 Part-Time  
Accounting Technician**

There has been a significant increase (62 percent) in the number of FINET documents produced by the Department. New processes have been introduced for Accounts Receivables and Debt Collections. Commerce currently administers 14 different funds. The combination of this additional workload requires an additional 0.5 FTE. The Analyst recommends funding of \$25,000 from the Commerce Service Fund.

	<b>FY 2000</b>
<b>Ongoing Funding</b>	<b>Analyst</b>
Commerce Service Fund	<u>\$25,000</u>
<b>Expenditures</b>	
Personal Services	\$18,600
Current Expense	2,400
Capital Outlay	<u>4,000</u>
<b>Total</b>	<b><u>\$25,000</u></b>

**2.6 Para-professional  
For Controlled  
Substances**

The Controlled Substance Database has grown beyond original estimates. This database tracks prescriptions for controlled substances. A para-professional is needed to continue development and to provide maintenance for the database. The Analyst recommends an ongoing building block of \$55,000 Commerce Service Fund. Revenue generated by the program will fund this base increase.

	<b>FY 2000</b>
<b>Ongoing Funding</b>	<b>Analyst</b>
Commerce Service Fund	<u>\$55,000</u>
<b>Expenditures</b>	
Personal Services	\$32,300
Current Expense	12,700
Data Processing	5,000
Capital Outlay	<u>5,000</u>
<b>Total</b>	<b><u>\$55,000</u></b>

**2.7 Rural Meetings**

Funding is recommended to take the Committee of Consumer Services meetings off of the Wasatch Front. The Committee represents rate payers from throughout Utah. The additional resources will support taking government to the citizens of the State.

	<b>FY 2000</b>
<b>Ongoing Funding</b>	<b>Analyst</b>
Commerce Service Fund	\$4,000
<b>Expenditures</b>	
Travel	\$4,000
<b>Total</b>	<b>\$4,000</b>

**2.8 Nurse Practice Act**

House Bill 351 passed by the 1998 Legislature established a General Fund Restricted Account to be used for: education and training of licensees; enforcement; investigation of unprofessional or unlawful conduct; providing legal representation to the Division; monitoring compliance of renewal requirements; and education and training of board members. Estimated revenue is \$10,000. Legislative appropriation approval is required before any funds can be spent.

	<b>FY 2000</b>
<b>Ongoing Funding</b>	<b>Analyst</b>
GFR - Certified Nurse Midwife Education and Enforcement Fund	\$10,000
<b>Expenditures</b>	
Current Expense	\$10,000
<b>Total</b>	<b>\$10,000</b>

**2.9 Manufactured Housing Restricted Funds**

A special assessment of \$75.00 is made on each "factory built home" sold by a dealer. Funds collected are deposited into a General Fund Restricted Account - Factory Built Housing Fees Account and used for the regulation of the manufactured housing industry. These funds are currently being collected, but must be appropriated before they can be used. Utah Code 58-56-17 and 17.5 provide the authorization. The estimated revenue for FY 2000 is \$104,400.

	<b>FY 2000</b>
<b>Ongoing Funding</b>	<b>Analyst</b>
Commerce Service Fund	\$104,400
<b>Expenditures</b>	
Current Expense	\$104,400
<b>Total</b>	<b>\$104,400</b>

## 2.10 Need For More Expert Witnesses

The Committee of Consumer Services represents rate payers in disputes with major utility companies. The number and complexity of rate cases have been increasing over the last several years. The Committee is also involved in meetings regarding the deregulation of the electric industry. As a result, more expert witnesses may be needed in the next fiscal year.

	<b>FY 2000</b>
<b>Ongoing Funding</b>	<b>Analyst</b>
Commerce Service Fund	\$100,000
<b>Expenditures</b>	
Current Expense	\$100,000
<b>Total</b>	<b>\$100,000</b>

**3.1 Programs: Department of Commerce - Administration****Recommendation**

The Analyst recommends funding of \$1,076,500 from the Commerce Service Fund. Adjustments have been made to the budget in an effort to keep administrative costs low and the operations of the Department as efficient as possible.

**Financial Summary**

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	
<b>Financing</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Commerce Service Fund	\$1,528,000	\$1,062,600	\$1,076,500	\$13,900
Beginning Nonlapsing	76,000	300,000		(300,000)
Closing Nonlapsing	(300,000)			0
Lapsing	(25,500)			
<b>Total</b>	<b>\$1,278,500</b>	<b>\$1,362,600</b>	<b>\$1,076,500</b>	<b>(\$286,100)</b>
% Change		6.6%	(21.0%)	
<b>Expenditures</b>				
Personal Services	\$859,100	\$921,000	\$924,300	\$3,300
Travel	1,500	4,500	4,500	0
Current Expense	179,200	279,300	103,500	(175,800)
Data Processing	225,300	104,300	43,200	(61,100)
DP Capital	1,000	53,500	1,000	(52,500)
Capital Outlay	12,400			
<b>Total</b>	<b>\$1,278,500</b>	<b>\$1,362,600</b>	<b>\$1,076,500</b>	<b>(\$286,100)</b>
% Change		6.6%	(21.0%)	
Standard FTE	16.00	18.00	18.00	0.00
Enhancement FTE			0.50	0.50

**Summary**

The Administration provides overall management and oversight to the Department of Commerce. Administration includes centralized accounting, payroll, personnel, and budgeting functions. Information Technology Management is mostly centralized under Administration. The various law proceedings of the Department are coordinated through Administration.

**Budget Adjustments**

The budget recommendation for FY 2000 reflects a reduction from FY 1999. This is due to the listing of the Department's Nonlapsing Balances. The Department requested authority to "carry-over" savings from one fiscal year to the next for the purchase and upgrade of information technology. The Department has made a similar request for FY 2000 for the sum of \$350,000. Though savings are commendable, it is important that the Department fulfill its statutory obligations before shifting its legislative appropriation for the new licensing system.

**Lapsed Funds**

The lapsed funds listed for FY 1998 are a compilation of lapsing balances from all programs within the Department except the Division of Public Utilities and the Committee on Consumer Services. These funds lapse back to the General Fund.

**Year 2000 Information Management Equipment Upgrades**

The Department has requested a one-time appropriation of \$330,000 to replace noncompliant hardware and continue its program to assure all systems are Year 2000 compliant. These funds are in addition to the requested authority to use "carry-over" savings for the purchase of equipment for the same purpose. The request is being reviewed by the Capital Facilities Appropriation Subcommittee. If funding is approved, it will be allocated to the Division of Finance and disbursed through the State Division of Information Technology Services (ITS).

**Part-Time Accounting Technician**

There has been a significant increase (62 percent) in the number of FINET documents produced by the Department. New processes have been introduced for Accounts Receivables and Debt Collections. Commerce currently administers 14 different funds. The combination of this additional workload requires an additional 0.5 FTE. The Analyst recommends funding of \$25,000 from the Commerce Service Fund.

	<b>FY 2000 Analyst</b>
<b>Ongoing Funding</b>	
Commerce Service Fund	<b>\$25,000</b>
<b>Expenditures</b>	
Personal Services	\$18,600
Current Expense	2,400
Capital Outlay	4,000
<b>Total</b>	<b>\$25,000</b>

**Performance Measures**

Goals have been established in the areas of consumer protection, communications, and a general commitment to excellence. The following items are being tracked by the Department Administration:

- 1) Streamline and reduce the number of laws and rules as appropriate;
- 2) Increase opportunities to do communication outreach (marketing);
- 3) Measure awareness of issues, laws and responsibilities;
- 4) Conduct customer satisfaction surveys;
- 5) Evaluate board and staff effectiveness regularly.

No numbers were submitted quantifying the above measures.



**3.2 Programs: Department of Commerce - Division of Occupational and Professional Licensing**

**Recommendation** The Analyst recommends funding of \$5,274,500. This includes \$5,041,300 Commerce Service Fund and \$233,200 Dedicated Credits. The Commerce Service Fund receives fees for license applications and renewals. The Dedicated Credits is from a 1.0 percent fee for building permits at the local level. The fees are for education and training. Local government keeps 0.2 percent of the fee collected.

**Financial Summary**

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	
<b>Financing</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Commerce Service Fund	\$4,879,100	\$4,969,500	\$5,041,300	\$71,800
Dedicated Credits	230,100	236,000	233,200	(2,800)
Beginning Nonlapsing	104,100	58,700		(58,700)
Closing Nonlapsing	(58,700)			0
Lapsing	(50,600)			0
<b>Total</b>	<b>\$5,104,000</b>	<b>\$5,264,200</b>	<b>\$5,274,500</b>	<b>\$10,300</b>
% Change		3.1%	0.2%	
<b>Expenditures</b>				
Personal Services	\$3,499,100	\$3,606,600	\$3,660,400	\$53,800
Travel	77,500	127,300	127,300	0
Current Expense	901,300	901,300	939,600	38,300
Data Processing	173,100	173,000	172,500	(500)
DP Capital	440,000	443,000	361,700	(81,300)
Capital Outlay	13,000	13,000	13,000	0
<b>Total</b>	<b>\$5,104,000</b>	<b>\$5,264,200</b>	<b>\$5,274,500</b>	<b>\$10,300</b>
% Change		3.1%	0.2%	
Standard FTE	89.00	91.00	91.00	0.00
Expansion FTE			1.00	1.00

**Summary**

The Division of Occupational and Professional Licensing is responsible for the licensing and regulation of approximately fifty-five occupations and professions. Licensor of an occupation or profession is established by law through legislative action.

In addition to issuing and renewing licenses, the Division establishes minimum requirements for licenser, investigates unlawful or unprofessional conduct, and conducts administrative proceedings against individuals who allegedly engage in unlawful or unprofessional conduct.

The Division oversees the Medical Malpractice Prelitigation Hearing Program, the Uniform Building Standards Act, the Residence Lien Recovery Fund, the Construction Standards for Manufactured Housing and Recreational Vehicles.

**Legislative Task Force**

The Legislature conducted a task force during the 1998 Interim period to evaluate the

need for a separate body to determine what groups and professions should be licensed. A bill has been proposed for the 1999 General Session to establish a legislative/citizen committee to evaluate requests for licensure.

### **Para-professional For Controlled Substances**

The Controlled Substance Database has grown beyond original estimates. This database tracks prescriptions for controlled substances. A para-professional is needed to continue development and to provide maintenance for the database. The Analyst recommends an ongoing building block of \$55,000 Commerce Service Fund. Revenue generated by the program will fund this base increase.

	<b>FY 2000</b>
<b>Ongoing Funding</b>	<b>Analyst</b>
Commerce Service Fund	<u>\$55,000</u>
<b>Expenditures</b>	
Personal Services	\$32,300
Current Expense	12,700
Data Processing	5,000
Capital Outlay	5,000
<b>Total</b>	<b><u>\$55,000</u></b>

### **Manufactured Housing Restricted Funds**

A special assessment of \$75.00 is made on each "factory built home" sold by a dealer. Funds collected are deposited into a General Fund Restricted Account - Factory Built Housing Fees Account and used for the regulation of the manufactured housing industry. These funds are currently being collected, but must be appropriated before they can be used. Utah Code 58-56-17 and 17.5 provide the authorization. The estimated revenue for FY 2000 is \$104,400.

	<b>FY 2000</b>
<b>Ongoing Funding</b>	<b>Analyst</b>
GFR - Factory Built Housing Fees Account	<u>\$104,400</u>
<b>Expenditures</b>	
Current Expense	\$104,400
<b>Total</b>	<b><u>\$104,400</u></b>

### **Nurse Practice Act**

House Bill 351 passed by the 1998 Legislature established a General Fund Restricted Account to be used for: education and training of licensees; enforcement; investigation of unprofessional or unlawful conduct; providing legal representation to the Division; monitoring compliance of renewal requirements; and education and training of board members. Estimated revenue is \$10,000. Legislative appropriation approval is

required before any funds can be spent.

<b>Ongoing Funding</b>	<b>FY 2000</b>
GFR - Certified Nurse Midwife Education	Analyst
and Enforcement Fund	<u>\$10,000</u>
<b>Expenditures</b>	
Current Expense	<u>\$10,000</u>
<b>Total</b>	<u><b>\$10,000</b></u>

### Residence Lien Recovery Fund Report

The Residence Lien Recovery Fund was established to assist residents and subcontractors to recover unpaid/reimbursed costs associated with building a structure without exceptional costs of time and litigation. The Residence Lien Recovery Fund is required to report annually to the Legislature. The following is FY 1998 information:

1)	Amounts received by the Fund	\$666,517
2)	Disbursements from the Fund	\$447,122
3)	Interest earned and credited to the Fund	\$176,907
4)	The Fund balance as of June 30, 1998	\$3,500,404

The Analyst is reporting the following additional information:

1)	Filed claims	119
2)	Completed claims	25
3)	Total value of claims paid	\$116,943
4)	Average amount of paid claim	\$4,678

The fund is solvent and seems to be accomplishing the purpose for which it was established.

### Performance Measures

The Division has begun to establish performance measures in the area. The following measurement items were reported through the Utah Tomorrow program.

Activity based -

- 1) Number of complaints;
- 2) Communication of applicable laws and regulations;

Outcome oriented -

- 3) Overall customer satisfaction (as determined by survey);
- 4) Overall investigative and enforcement actions.

	<b>Complaint Resolution</b>				
	<b>FY 94</b>	<b>FY 95</b>	<b>FY 96</b>	<b>FY 97</b>	<b>FY 98</b>
Complaints Received	2,945	3,411	2,718	3,225	3,429
Complaints Resolved	2,457	2,652	2,331	2,868	3,311
Percent Resolved	83.4%	77.8%	85.8%	88.9%	87.2%

Activity measures for FY 1998 give an indication of the effort expended through the

Division.

- 23,125 new licensure applications received (averaging 2,069 per month);
- 74,106 renewal packages printed and mailed to licensees (averaging 6,128 per month);
- 77,398 licenses printed and mailed to licensees (averaging 6,450 per month);
- 2,668 cases assigned for investigation;
- 1,034 citations issued.

### 3.3 Programs: Department of Commerce - Division of Securities

**Recommendation** The Analyst recommends funding of \$1,052,300 General Fund Restricted- Commerce Service Fund. The budget recommendation is the same as current year funding except for a small adjustment to personal services and current expense for Internal Service Funds. Adjustments have been made throughout the Department which are revenue neutral.

#### Financial Summary

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	
<b>Financing</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Commerce Service Fund	\$959,300	\$1,050,200	\$1,052,300	\$2,100
<b>Total</b>	<b>\$959,300</b>	<b>\$1,050,200</b>	<b>\$1,052,300</b>	<b>\$2,100</b>
% Change		9.5%	0.2%	
<b>Expenditures</b>				
Personal Services	\$874,100	\$908,400	\$923,500	\$15,100
Travel	6,600	11,400	11,400	0
Current Expense	52,100	65,400	68,100	2,700
Data Processing	25,500	31,400	30,800	(600)
DP Capital Outlay	1,000	33,600	18,500	(15,100)
Capital Outlay				0
<b>Total</b>	<b>\$959,300</b>	<b>\$1,050,200</b>	<b>\$1,052,300</b>	<b>\$2,100</b>
% Change		9.5%	0.2%	
Standard FTE	21.00	21.00	21.00	0.00
Expansion FTE				

**Summary** The Division of Securities enforces the Utah Uniform Securities Act including licensing broker-dealers, agents and investment advisors. They review all entities issuing securities. The Division investigates fraudulent sales activities and initiates administrative actions to deny, revoke or suspend licenses or registration where appropriate to stop unlawful activities. They also refer investigations to State or local prosecutors for civil or criminal court action.

**Performance Measures** The following performance measures were reported by the Department of Commerce for this area.

- 71,545 securities professionals were licensed, with 7,050 new licenses;
- 103 investigative cases were closed;
- 4,733 filings were registered;
- 44 audits were performed;
- Average number of days from complaint to resolution. (Approximately 180)

**3.4 Programs: Department of Commerce - Division of Consumer Protection**

**Recommendation** The Analyst recommends funding of \$592,500. All funding for the Consumer Protection Program comes from the General Fund Restricted account for the Commerce Service Fund.

**Financial Summary**

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	
<b>Financing</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Commerce Service Fund	\$551,500	\$589,800	\$592,500	\$2,700
<b>Total</b>	<b>\$551,500</b>	<b>\$589,800</b>	<b>\$592,500</b>	<b>\$2,700</b>
% Change		6.9%	0.5%	
<b>Expenditures</b>				
Personal Services	485,000	521,100	537,800	\$16,700
Travel	900	3,200	3,100	(100)
Current Expense	40,800	40,900	36,100	(4,800)
Data Processing	23,800	23,600	14,500	(9,100)
DP Capital Outlay	1,000	1,000	1,000	0
<b>Total</b>	<b>\$551,500</b>	<b>\$589,800</b>	<b>\$592,500</b>	<b>\$2,700</b>
% Change		6.9%	0.5%	
Standard FTE	15.00	15.00	15.00	0.00
Expansion FTE				

**Summary** The Division of Consumer Protection is responsible for assisting consumers and businesses in dealing with dishonest business practices. The Division has authority under thirteen separate statutes.

**Goals and Objectives** The Utah Division of Consumer Protection responds to consumer complaints, prevents and corrects unfair and deceptive business practices, provides relief for consumers and promotes consumer education programs. The goal is to help create a business climate where consumers are knowledgeable and sophisticated and legitimate businesses can flourish.

**Attorney General Services** Costs of Attorney General Services have regularly been absorbed within existing budgets. Increasing caseload and complexity of cases have combined to produce a backlog of cases. The Analyst recommends \$20,000 from the Commerce Service Fund to address the expenses related to attorney fees.

	<b>FY 2000</b>
<b>Ongoing Funding</b>	<b>Analyst</b>
Commerce Service Fund	<u>\$20,000</u>
<b>Expenditures</b>	
Current Expense	<u>\$20,000</u>
<b>Total</b>	<u><b>\$20,000</b></u>

**Performance Measures**

The major activity of this budget is the resolution of complaints. The following table lists the activity related to complaints.

	<b>Number of Calls Completed</b>				
	FY 94	FY 95	FY 96	FY 97	FY 98
Average number of days per complaint	—	—	—	30-45	27-41
Complaints Received	878	1,445	984	1,172	1,398
Complaints Resolved	278	349	865	946	1,135
Percent Resolved	31.7%	24.2%	87.9%	80.7%	81.2%

**Activity Highlights:**

- 25,000 plus telephone inquiries resulting in 3,290 assigned incidents;
- 177 cases closed through administrative, civil, or criminal action;
- 23 consumer alerts and press releases warning Utahns against fraud;
- 125 plus speeches and presentations made throughout the State.

**3.5 Programs: Department of Commerce - Division of Corporations and Commercial Code**

**Recommendation** The Analyst recommends funding of \$1,433,400. The funding comes entirely from the General Fund Restricted Account - Commerce Service Fund.

**Financial Summary**

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	
<b>Financing</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Commerce Service Fund	\$1,325,800	\$1,431,300	\$1,433,400	\$2,100
<b>Total</b>	<b>\$1,325,800</b>	<b>\$1,431,300</b>	<b>\$1,433,400</b>	<b>\$2,100</b>
% Change		8.0%	0.1%	
<b>Expenditures</b>				
Personal Services	\$951,700	\$1,002,500	\$1,025,000	\$22,500
Travel	4,600	7,000	7,000	0
Current Expense	356,600	371,500	351,100	(20,400)
Data Processing	11,900	36,700	36,700	0
DP Capital	1,000	13,600	13,600	0
<b>Total</b>	<b>\$1,325,800</b>	<b>\$1,431,300</b>	<b>\$1,433,400</b>	<b>\$2,100</b>
% Change		8.0%	0.0%	
Standard FTE	34.00	34.00	34.00	0.00
Expansion FTE				

**Summary**

The Division is the State's filing office and repository of all corporations, commercial code, business registration, limited partnerships, limited liability companies, notaries public, collection agencies, trademarks, and agricultural liens. The Division serves all business enterprises by providing registration and informational assistance, expediting customer needs and making available its repository of records and data for public research.

The Division also registers corporate annual reports. The Utah Digital Signature Act, the Utah Notary Public Act, and the Utah Commercial Code are administered by the Division.

The Division maintains the DataShare computer system, which allows access to the Division's data base from a personal computer with a modem. The DataShare program will be available on the Internet this year (FY 1999).

**Performance Measures**

Activity measures were reported along with the budget request:

- 360,000 transactions processed for the public;
- 14,853 new business names were registered;
- 9,731 new corporations were registered;
- 6,924 new limited liability companies were registered;
- 150,000 telephone calls were received from the public.



### 3.6 Programs: Department of Commerce - Division of Real Estate

**Recommendation** The Analyst recommends funding of \$790,000. The recommendation is 93.0 percent of General Fund Restricted Commerce Service Fund. The remaining amount is Dedicated Credits from fees collected from the industry.

#### Financial Summary

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	
<b>Financing</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Commerce Service Fund	\$710,700	\$780,500	\$734,600	(\$45,900)
Dedicated Credits	4,200		55,400	55,400
<b>Total</b>	<b>\$714,900</b>	<b>\$780,500</b>	<b>\$790,000</b>	<b>\$9,500</b>
% Change		9.2%	1.2%	
<b>Expenditures</b>				
Personal Services	\$552,500	\$594,300	\$602,600	\$8,300
Travel	11,500	11,500	11,500	0
Current Expense	118,900	142,600	143,800	1,200
Data Processing	31,000	31,100	31,100	0
DP Capital	1,000	1,000	1,000	0
<b>Total</b>	<b>\$714,900</b>	<b>\$780,500</b>	<b>\$790,000</b>	<b>\$9,500</b>
% Change		9.2%	0.0%	
Standard FTE	14.00	14.00	14.00	0.00
Enhancement FTE				0.00

#### Summary

The Division of Real Estate is responsible for the regulation of the Real Estate industry in Utah. The Division provides public protection in the real estate marketplace through education and licensure. The Division licenses all brokers, sales persons, and appraisers. Complaints are investigated and where necessary, disciplinary proceedings are conducted. The Division screens all subdivided lands and time share projects and insures full disclosure in offerings. Broker Trust Accounts are audited by the Division and sales and appraisal practices are monitored to insure they are fair and reasonable.

#### Performance Measures

The following table lists the activities reported by the Division for FY 1998. They are primarily activity oriented rather than outcome oriented measures:

- 16,142 Utahns currently licensed with the Division;
- 16 seminars given through the State to licensees;
- 2,639 licensing exams given;
- 207 investigations closed;
- 8 newsletters issued.

**3.7 Programs: Department of Commerce - Real Estate Education and Recovery Fund****Recommendation**

The Analyst recommends funding of \$142,400. The funding comes from the Trust Fund - Real Estate Education and Recovery Fund. The Fund revenue is from a fee collected on all real estate licenses.

**Financial Summary**

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	
<b>Financing</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Dedicated Credits	\$2,500	\$0		\$0
Trust Account	138,000	141,400	142,400	1,000
Beginning Nonlapsing	0	2,600		(2,600)
Closing Nonlapsing	(2,600)			0
<b>Total</b>	<b>\$137,900</b>	<b>\$144,000</b>	<b>\$142,400</b>	<b>(\$1,600)</b>
% Change		4.4%	(1.1%)	
<b>Expenditures</b>				
Personal Services	\$83,600	\$90,400	\$91,400	\$1,000
Travel	7,100	7,200	7,200	0
Current Expense	47,200	46,400	43,800	(2,600)
Data Processing				0
<b>Total</b>	<b>\$137,900</b>	<b>\$144,000</b>	<b>\$142,400</b>	<b>(\$1,600)</b>
% Change		4.4%	(1.1%)	
Standard FTE	2.00	2.00	2.00	0.00
Enhancement FTE				

**Summary**

Title 61, Chapter 2a of the Utah Code Annotated establishes the Real Estate Recovery Fund. The fund is to reimburse the public for damages up to \$10,000, which are incurred by real estate licensees. A balance of \$100,000 is to remain in the fund to satisfy claims. The Fund can also be appropriated "... to provide revenue for improving the real estate profession through education and research with the goal of making real estate salespeople more responsible to the public." The Real Estate Education Program is funded from the balance in the restricted account in excess of the \$100,000. The FY 1998 ending balance in the fund was \$595,536.40.

**3.8 Programs: Department of Commerce - Division of Public Utilities**

**Recommendation** The Analyst recommends funding of \$2,851,000. Two sources of funding are provided. The main source is the General Fund Restricted Account for the Commerce Service Fund. The remaining amount is from federal funds. These are from the Federal Pipeline Safety Grant.

**Financial Summary**

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	
<b>Financing</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Commerce Service Fund	\$2,363,300	\$2,669,700	\$2,690,400	\$20,700
Federal Funds	138,100	124,900	135,600	10,700
Transfer	45,000	25,000	25,000	0
<b>Total</b>	<b>\$2,546,400</b>	<b>\$2,819,600</b>	<b>\$2,851,000</b>	<b>\$31,400</b>
% Change		10.7%	1.1%	
<b>Expenditures</b>				
Personal Services	\$2,193,400	\$2,378,100	\$2,429,500	\$51,400
Travel	51,800	63,000	63,000	0
Current Expense	133,800	194,200	174,600	(19,600)
Data Processing	66,200	103,100	102,700	(400)
DP Capital	56,200	56,200	56,200	0
Pass Through	45,000	25,000	25,000	0
<b>Total</b>	<b>\$2,546,400</b>	<b>\$2,819,600</b>	<b>\$2,851,000</b>	<b>\$31,400</b>
% Change		10.7%	1.1%	
Standard FTE	35.00	35.00	35.00	0.00
Enhancement FTE				0.00

**Summary** The Division of Public Utilities is responsible for the administration and execution of the rules and orders of the Public Service Commission and State law relative to public utilities. Regulation of utilities is carried out through the oversight of the Division and the quasi-judicial and rule making role of the Public Service Commission. Regulation substitutes for the normal economic competition among non-regulated business.

There are approximately 55 public utilities operating in Utah. The Division monitors and evaluates the performance of the utilities and their compliance with the law and rulings of the Commission. The Division is charged with insuring the financial health of the utilities while also representing the interest of all classes of rate payers. The issues facing the Division are more complex as utilities seek deregulation and a more competitive market environment.

**Budget Adjustment** The budget adjustment reflected in this program is the result of an estimated increase of Federal Funds. These funds will be used for the Pipeline Safety Program throughout the State.

**3.9 Programs: Department of Commerce - Division of Public Utilities Professional and Technical**

**Recommendation** The Analyst recommends an appropriation of \$150,000 for Public Utilities Professional and Technical Services. This is half from the General Fund Restricted Commerce Service Fund and half from a carry-over of nonlapsing balances.

**Financial Summary**

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	
<b>Financing</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Commerce Service Fund	\$100,000	\$100,000	\$100,000	\$0
Beginning Nonlapsing	205,800	165,100	115,100	(50,000)
Closing Nonlapsing	(165,100)	(115,100)	(65,100)	50,000
<b>Total</b>	<b>\$140,700</b>	<b>\$150,000</b>	<b>\$150,000</b>	<b>\$0</b>
% Change		6.6%	0.0%	
<b>Expenditures</b>				
Current Expense	\$140,700	\$150,000	\$150,000	\$0
<b>Total</b>	<b>\$140,700</b>	<b>\$150,000</b>	<b>\$150,000</b>	<b>\$0</b>
% Change		6.6%	0.0%	
Standard FTE				0.00
Enhancement FTE				0.00

**Summary**

The Division of Public Utilities hires expert witnesses to appear in rate cases before the Public Service Commission. These funds have traditionally been nonlapsing and have been appropriated in a separate line item.

There is a large carry-over balance for FY 1998. The deregulation of the electric industry may use much of the available funds during the next year. It is estimated that some funds will be available to carry-over into FY 1999.

**3.10 Programs: Department of Commerce - Committee of Consumer Service**

**Recommendation** The Analyst recommends funding of \$617,100. Funding is from the Commerce Service Fund.

**Financial Summary**

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	
<b>Financing</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Commerce Service Fund	\$529,200	\$617,100	\$617,100	\$0
<b>Total</b>	<b>\$529,200</b>	<b>\$617,100</b>	<b>\$617,100</b>	<b>\$0</b>
% Change		16.6%	0.0%	
<b>Expenditures</b>				
Personal Services	\$476,200	\$545,700	\$549,900	\$4,200
Travel	13,100	15,800	15,800	0
Current Expense	23,700	35,200	35,200	0
Data Processing	15,200	15,200	15,200	0
DP Capital	1,000	5,200	1,000	(4,200)
<b>Total</b>	<b>\$529,200</b>	<b>\$617,100</b>	<b>\$617,100</b>	<b>\$0</b>
% Change		16.6%	0.0%	
Standard FTE	10.00	10.00	10.00	0.00
Enhancement FTE				0.00

**Summary**

The Committee of Consumer Services represents the interests of residential, small business and agricultural rate payers before the Public Service Commission. Its purpose is to represent consumers who might not otherwise have an effective voice before the Commission. The Committee meets as a policy board and determines the cases in which it will participate. The Committee has nine staff members and one Assistant Attorney General.

**Rural Meetings**

Funding is recommended to take the Committee of Consumer Services meetings off of the Wasatch Front. The Committee represents rate payers from throughout Utah. The additional resources will support taking government to the citizens of the State.

	<b>FY 2000</b>
<b>Ongoing Funding</b>	<b>Analyst</b>
Commerce Service Fund	\$4,000
<b>Expenditures</b>	
Travel	\$4,000
<b>Total</b>	<b>\$4,000</b>

### 3.11 Programs: Department of Commerce - Committee of Consumer Services Professional and Technical - Nonlapsing

#### Recommendation

The Analyst recommends funding of \$374,500. This is primarily from General Fund Restricted Commerce Service Fund.

#### Financial Summary

	FY 1998	FY 1999	FY 2000	
<b>Financing</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Commerce Service Fund	\$235,000	\$235,000	\$235,000	\$0
Beginning Nonlapsing	414,400	289,500	139,500	(150,000)
Closing Nonlapsing	(289,500)	(139,500)		139,500
<b>Total</b>	<b>\$359,900</b>	<b>\$385,000</b>	<b>\$374,500</b>	<b>(\$10,500)</b>
% Change		7.0%	(2.7%)	
<b>Expenditures</b>				
Current Expense	\$359,900	\$385,000	\$374,500	(\$10,500)
<b>Total</b>	<b>\$359,900</b>	<b>\$385,000</b>	<b>\$374,500</b>	<b>(\$10,500)</b>
% Change		7.0%	(2.7%)	

#### Summary

The Committee of Consumer Services hires expert witnesses to appear in rate cases before the Public Service Commission. These funds have traditionally been nonlapsing and have been appropriated in a separate line item.

There is a large carryover from FY 1997 to FY 1998 has been significantly reduced for FY 1999. The fund may be further reduced due to several rate cases expected in the next year. The Analyst has also recommended a one-time appropriation to address the increased workload.

#### Need For More Expert Witnesses

The number and complexity of rate cases have been increasing over the last several years. As a result more expert witnesses may be needed.

	FY 2000
<b>One-Time Funding</b>	<b>Analyst</b>
Commerce Service Fund	\$100,000
<b>Expenditures</b>	
Current Expense	\$100,000
<b>Total</b>	<b>\$100,000</b>

**3.12 Programs: Department of Commerce - Building Rent**

**Recommendation** The Analyst recommends funding of \$223,300. This continues the lease at the same rate as FY 1999. Funding is from the General Fund Restricted - Commerce Service Fund with a \$2,000 offset from Trust Accounts.

**Financial Summary**

	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>	
<b>Financing</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>	<b>Difference</b>
Commerce Service Fund	\$221,300	\$221,300	\$221,300	\$0
Trust Accounts	2,000	2,000	2,000	0
<b>Total</b>	<b>\$223,300</b>	<b>\$223,300</b>	<b>\$223,300</b>	<b>\$0</b>
% Change		0.0%	0.0%	
<b>Expenditures</b>				
Current Expense	\$223,300	\$223,300	\$223,300	\$0
<b>Total</b>	<b>\$223,300</b>	<b>\$223,300</b>	<b>\$223,300</b>	<b>\$0</b>
% Change		0.0%	0.0%	

**Summary** The Department of Commerce is primarily located at the Heber M. Wells Building off of Third South and First East in Salt Lake City. The building is owned and operated by the Division of Facilities and Construction Management (DFCM).

**Performance Measures** No performance measures reported for this area.

**4.0 Tables: Department of Commerce**

	<b>FY 1996</b>	<b>FY 1997</b>	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>
<b>Programs</b>	<b>Actual</b>	<b>Actual</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>
Administration	Unavailable	\$1,030,500	\$1,278,500	\$1,362,600	\$1,101,500
Occupational & Prof. Licensing		4,304,000	5,104,000	5,264,200	5,443,900
Securities		974,500	959,300	1,050,200	1,052,300
Consumer Protection		530,400	551,500	589,800	592,500
Corporations		1,422,700	1,325,800	1,431,300	1,433,400
Real Estate		678,800	714,900	780,500	810,000
Real Estate Education		106,700	137,900	144,000	142,400
Public Utilities		2,507,900	2,546,400	2,819,600	2,851,000
Public Utilities - P & T		55,600	140,700	150,000	150,000
Consumer Services		518,600	529,200	617,100	621,100
Consumer Services - P & T		67,200	359,900	385,000	474,500
Building Rent		223,300	223,300	223,300	223,300
<b>Total</b>		<b>\$12,420,200</b>	<b>\$13,871,400</b>	<b>\$14,817,600</b>	<b>\$14,895,900</b>
% Change		0.0%	11.7%	6.8%	0.5%

	<b>FY 1996</b>	<b>FY 1997</b>	<b>FY 1998</b>	<b>FY 1999</b>	<b>FY 2000</b>
<b>Financing</b>	<b>Actual</b>	<b>Actual</b>	<b>Actual</b>	<b>Estimated</b>	<b>Analyst</b>
Commerce Service Fund	Unavailable	\$12,776,400	\$13,403,200	\$13,727,000	\$14,112,800
Federal Funds		70,300	138,100	124,900	135,600
Dedicated Credits		24,700	236,800	236,000	288,600
Trust Accounts		130,500	140,000	143,400	144,400
Transfers			45,000	25,000	25,000
Beginning Nonlapsing		661,700	800,300	815,900	254,600
Closing Nonlapsing		(745,700)	(815,900)	(254,600)	(65,100)
Lapsing		(497,700)	(76,100)	0	0
<b>Total</b>	<b>\$0</b>	<b>\$12,420,200</b>	<b>\$13,871,400</b>	<b>\$14,817,600</b>	<b>\$14,895,900</b>
% Change		0.0%	11.7%	6.8%	0.5%



<b>Expenditures</b>	<b>FY 1996 Actual</b>	<b>FY 1997 Actual</b>	<b>FY 1998 Actual</b>	<b>FY 1999 Estimated</b>	<b>FY 2000 Analyst</b>
Personal Services	Unavailable	\$9,654,000	\$9,974,700	\$10,568,100	\$10,795,300
Travel		153,100	174,600	250,900	254,800
Current Expense		1,846,400	2,577,500	2,835,100	2,893,100
Data Processing		596,700	572,000	518,400	451,700
DP Capital		96,700	502,200	607,100	454,000
Capital Outlay		38,400	25,400	13,000	22,000
Pass-Through		34,900	45,000	25,000	25,000
<b>Total</b>	<b>\$0</b>	<b>\$12,420,200</b>	<b>\$13,871,400</b>	<b>\$14,817,600</b>	<b>\$14,895,900</b>
% Change		0.0%	11.7%	6.8%	0.5%
Standard FTE		236.00	236.00	240.00	240.00
Expansion FTE			0.00	0.00	0.50

**5.0 Federal Funds: Department of Commerce**

<b>Program</b>		<b>FY 1998 Actual</b>	<b>FY 1999 Estimated</b>	<b>FY 2000 Analyst</b>
Pipeline Safety Grant	Federal	\$138,100	\$124,900	\$135,600
	State	70,300	126,600	149,900
	<b>Total</b>	<b>208,400</b>	<b>251,500</b>	<b>285,500</b>
Total	Federal	138,100	124,900	135,600
Total	State	70,300	126,600	149,900
<b>Grand</b>	<b>Total</b>	<b>\$208,400</b>	<b>\$251,500</b>	<b>\$285,500</b>

**6.0 Fees: Department of Commerce**

The following pages are a listing of fees for Legislative approval. The sum of the fees is estimated to generate approximately \$987,800 new Commerce Service Fund revenue.

<b>Fee Title</b>	<b>FY 1999 Current Rate</b>	<b>FY2000 Proposed Rate*</b>	<b>Percent Inc./Dec.</b>	<b>Estimated Revenue</b>
<b>Administration</b>				
GRAMA Requests	\$10/hr+.15/pg	\$10/hr+.15/pg		
Vehicle Franchise Act	80.00	80.00	0.00%	
<b>Occupational and Professional Licensing</b>				
<b>Certified Public Accountant</b>				
Individual CPA Application Filing	75.00	75.00	0.00%	24,375
Individual License/ Certificate Renewal	50.00	50.00	0.00%	
CPA Firm Application for Registration	80.00	80.00	0.00%	4,000
CPA Firm Registration Renewal	40.00	40.00	0.00%	
Examination Record Fee	30.00	30.00	0.00%	
<b>Acupuncturist</b>				
New Application	100.00	100.00	0.00%	500
Renewal	50.00	50.00	0.00%	1,550
<b>Alarm Company</b>				
FBI Fingerprint File Search	24.00	24.00	0.00%	pass thru
BCI Fingerprint File Search	10.00	10.00	0.00%	pass thru
Company Application Filing	300.00	300.00	0.00%	6,300
Company Renewal	100.00	100.00	0.00%	18,080
Agent Application Filing	40.00	40.00	0.00%	
Agent Renewal	20.00	20.00	0.00%	
<b>Alternative Dispute Resolution Providers</b>				
Application Filing	75.00	75.00	0.00%	9,000
License Renewal	50.00	50.00	0.00%	
<b>Architect</b>				
Application Filing	100.00	100.00	0.00%	11,600
License Renewal	50.00	50.00	0.00%	81,850
Education and Enforcement Surcharge	10.00	10.00	0.00%	16,370
<b>Boxing Commission</b>				
Promoters - Application Filing	100.00	100.00	0.00%	100
Professional Contestant - License Renewal	25.00	25.00	0.00%	75
Professional Contestant - Application Filing	25.00	25.00	0.00%	25
Judges and Referees - License Renewal	25.00	25.00	0.00%	75
Judges and Referees - Application Filing	25.00	25.00	0.00%	
Managers and Seconds - License Renewal	25.00	25.00	0.00%	25
Managers and Seconds - Application Filing	25.00	25.00	0.00%	
Contest Registration Fee	250.00	250.00	0.00%	250
Promotions (percent of total)	0.05	0.05	0.00%	
<b>Audiologist</b>				
Application Filing	60.00	60.00	0.00%	840
License Renewal	35.00	35.00	0.00%	
<b>Cosmetologist/Barber</b>				
Teacher Certificate	40.00	40.00	0.00%	1,920
Application Filing	40.00	40.00	0.00%	32,160

	<b>FY 1999</b>	<b>FY2000</b>		
	<b>Current</b>	<b>Proposed</b>	<b>Percent</b>	<b>Estimated</b>
	<b>Rate</b>	<b>Rate*</b>	<b>Inc./Dec.</b>	<b>Revenue</b>
License Renewal	25.00	25.00	0.00%	
School Application Filing	100.00	100.00	0.00%	200
School License Renewal	50.00	50.00	0.00%	
Apprentice Application Filing	25.00	25.00	0.00%	525
Building Inspector				
Initial License - Application Filing	50.00	50.00	0.00%	fee waived
License - Renewal	25.00	25.00	0.00%	fee waived
Certified Nurse Midwife				
Application Filing	60.00	60.00	0.00%	1,020
License Renewal	40.00	40.00	0.00%	3,280
Intern-Application Filing	25.00	25.00	0.00%	75
Prescriptive Authority-Application Filing	50.00	0.00	(100.00%)	n/a
Certified Public Accountant Quality Review				
CPA Firm - Offsite Review	375+	375+	0.00%	
employed CPA, each	30.00	30.00	0.00%	
CPA Firm - On-site Review	425+	425+	0.00%	
employed CPA, each	30.00	30.00	0.00%	
Certified Shorthand Reporter				
Application Filing	35.00	35.00	0.00%	210
License Renewal	30.00	30.00	0.00%	4,710
Chiropractic Physician				
Application Filing	100.00	100.00	0.00%	6,000
License Renewal	50.00	50.00	0.00%	31,350
Contractor Licensing				
Primary Application Filing	200.00	200.00	0.00%	546,800
First License Renewal	100.00	100.00	0.00%	1,644,700
Additional Application Filing	100.00	100.00	0.00%	
Change Qualifier fees	40.00	40.00	0.00%	
Controlled Substance				
Application Filing	90.00	90.00	0.00%	72,000
License Renewal	50.00	50.00	0.00%	
Controlled Substance Precursor				
Distributor Application Filing	200.00	200.00	0.00%	200
Distributor License Renewal	100.00	100.00	0.00%	
Purchaser Application Filing	100.00	100.00	0.00%	
Purchaser License Renewal	50.00	50.00	0.00%	
Deception Detection				
FBI Fingerprint File Search	24.00	24.00	0.00%	pass thru
BCI Fingerprint File Search	10.00	10.00	0.00%	pass thru
Examiner Application Filing	40.00	40.00	0.00%	80
Examiner Renewal	20.00	20.00	0.00%	0
Intern Application Filing	25.00	25.00	0.00%	50
Intern Renewal	20.00	20.00	0.00%	
Dentist				
Application Filing	100.00	100.00	0.00%	8,900
License Renewal	50.00	50.00	0.00%	96,250
Anesthesia Upgrade	50.00	50.00	0.00%	
Dental Hygienist				

	<b>FY 1999</b>	<b>FY2000</b>		
	<b>Current</b>	<b>Proposed</b>	<b>Percent</b>	<b>Estimated</b>
	<b>Rate</b>	<b>Rate*</b>	<b>Inc./Dec.</b>	<b>Revenue</b>
Application Filing	50.00	50.00	0.00%	6,150
License Renewal	25.00	25.00	0.00%	23,275
Anesthesia Upgrade	25.00	25.00	0.00%	
Certified Dietician				
Application Filing	50.00	50.00	0.00%	1,800
License Renewal	25.00	25.00	0.00%	
Electrician				
Application Filing	100.00	100.00	0.00%	110,500
License Renewal	50.00	50.00	0.00%	
Electrologist				
Application Filing	40.00	40.00	0.00%	480
License Renewal	20.00	20.00	0.00%	3,860
Employee Leasing Company				
Base Annual Fee	1500+	1500+	0.00%	94,500
Volume Fee, per \$1 million in billings	215.00	215.00	0.00%	
Professional Engineer				
Professional Engineer - Application Filing	100.00	100.00	0.00%	108,100
Professional Engineer - License Renewal	50.00	50.00	0.00%	268,200
Professional Structural Engineer - Application Filing	100.00	100.00	0.00%	3,400
Professional Structural Engineer - License Renewal	50.00	50.00	0.00%	14,250
Exam Record Fee	30.00	30.00	0.00%	
Education and Enforcement Surcharge	10.00	10.00	0.00%	56,490
Environmental Health Scientist				
Application Filing	50.00	50.00	0.00%	650
License Renewal	25.00	25.00	0.00%	
Funeral Services				
Director Application Filing	150.00	150.00	0.00%	2,250
Director License Renewal	75.00	75.00	0.00%	19,275
Apprentice Application Filing	25.00	25.00	0.00%	400
Apprentice License Renewal	20.00	20.00	0.00%	620
Establishment Application Filing	100.00	100.00	0.00%	100
Establishment License Renewal	100.00	100.00	0.00%	8,500
Health Care Assistant				
Application Filing	20.00	20.00	0.00%	105,480
License Renewal	10.00	10.00	0.00%	
Health Facility Administrator				
Application Filing	60.00	60.00	0.00%	1,620
License Renewal	40.00	40.00	0.00%	
Hearing Instrument Specialist				
Application Filing	100.00	100.00	0.00%	500
License Renewal	50.00	50.00	0.00%	
Hearing Instrument Intern				
Application Filing	25.00	25.00	0.00%	75
Landscape Architects				
Application Filing	100.00	100.00	0.00%	3,400
License Renewal	50.00	50.00	0.00%	12,450

	<b>FY 1999</b>	<b>FY2000</b>	<b>Percent</b>	<b>Estimated</b>
	<b>Current</b>	<b>Proposed</b>	<b>Inc./Dec.</b>	<b>Revenue</b>
	<b>Rate</b>	<b>Rate*</b>		
Examination Fee Record	30.00	30.00	0.00%	
Education and Enforcement Surcharge	10.00	10.00	0.00%	2,430
Professional Land Surveyor				
Application Filing	100.00	100.00	0.00%	4,700
License Renewal	50.00	50.00	0.00%	28,350
Fundamentals of Land Surveying Examination	30.00	30.00	0.00%	
Record Fee				
Education and Enforcement Surcharge	10.00	10.00	0.00%	5,670
Manufactured Housing				
Dealer - Application Filing	15.00	15.00	0.00%	525
Dealer - License Renewal	15.00	15.00	0.00%	525
On-site plant inspection		50/hr +exp		
Marriage and Family Therapist				
Application Filing	75.00	75.00	0.00%	3,600
License Renewal	65.00	65.00	0.00%	
Post/Master Training	10.00	10.00	0.00%	
Coursework Review Fee	25.00	25.00	0.00%	
Massage				
Technician - Application Filing	50.00	50.00	0.00%	25,300
Technician - License Renewal	40.00	40.00	0.00%	
Apprentice - Application Filing	25.00	25.00	0.00%	350
Apprentice - License Renewal	25.00	25.00	0.00%	
Naturopathic Physician				
License Renewal	50.00	50.00	0.00%	500
Application Filing	100.00	100.00	0.00%	400
Nurses				
Licensed Practical Nurse (L.P.N.) - Application Filing	50.00	50.00	0.00%	41,100
Licensed Practical Nurse (L.P.N.) - License Renewal	40.00	40.00	0.00%	142,360
Registered Nurse (R.N.) - Application Filing	50.00	50.00	0.00%	75,050
Registered Nurse (R.N.) - License Renewal	40.00	40.00	0.00%	
Advanced Practice R.N. - Application Filing	60.00	60.00	0.00%	7,980
Advanced Practice R.N. - License Renewal	40.00	40.00	0.00%	28,040
Advanced Practice R.N. - Intern	25.00	25.00	0.00%	1,725
Certified Nurse Anesthetist - Application Filing	60.00	60.00	0.00%	900
Certified Nurse Anesthetist - License Renewal	40.00	40.00	0.00%	5,480
Educational Program approval/Initial site visit	500.00	500.00	0.00%	
Educational Program approval/Follow-up site visit	250.00	250.00	0.00%	
Occupational Therapist				
Occupational Therapist - Application Filing	60.00	60.00	0.00%	2,520
Occupational Therapist - License Renewal	35.00	35.00	0.00%	1,380
Occupational Therapist Assistant - Application Filing	60.00	60.00	0.00%	
Occupational Therapist Assistant - License Renewal	35.00	35.00	0.00%	
Optometrist				
Application Filing	100.00	100.00	0.00%	3,100
License Renewal	50.00	50.00	0.00%	
Osteopathic Physician & Surgeon				
Application Filing	150.00	150.00	0.00%	3,000

	<b>FY 1999</b>	<b>FY2000</b>	<b>Percent</b>	<b>Estimated</b>
	<b>Current</b>	<b>Proposed</b>	<b>Inc./Dec.</b>	<b>Revenue</b>
	<b>Rate</b>	<b>Rate*</b>		
License Renewal	100.00	100.00	0.00%	15,600
Pharmacy				
Pharmacist - Application Filing	100.00	100.00	0.00%	15,800
Pharmacist - License Renewal	50.00	50.00	0.00%	
Pharmacy Intern - Application Filing	25.00	25.00	0.00%	1,475
Pharmacy Intern - License Renewal	20.00	20.00	0.00%	
Pharmacy - Application Filing	100.00	100.00	0.00%	8,800
Pharmacy - License Renewal	50.00	50.00	0.00%	
Pharmaceutical Manufacturer - Application Filing	100.00	100.00	0.00%	200
Pharmaceutical Manufacturer - License Renewal	50.00	50.00	0.00%	
Pharmaceutical Wholesaler/Distributor - Application Filing	100.00	100.00	0.00%	2,400
Pharmaceutical Wholesaler/Distributor - License Renewal	50.00	50.00	0.00%	
Veterinary Pharmaceutical Outlet - Application Filing	100.00	100.00	0.00%	
Veterinary Pharmaceutical Outlet - License Renewal	50.00	50.00	0.00%	
Pharmaceutical Researcher - Application Filing	100.00	100.00	0.00%	700
Pharmaceutical Researcher - License Renewal	50.00	50.00	0.00%	
Pharmaceutical Dog Trainer - Application Filing	100.00	100.00	0.00%	100
Pharmaceutical Dog Trainer - License Renewal	50.00	50.00	0.00%	
Pharmaceutical Teaching Organization - Application Filing	100.00	100.00	0.00%	
Pharmaceutical Teaching Organization - License Renewal	50.00	50.00	0.00%	
Euthanasia Agency - Application Filing	100.00	100.00	0.00%	100
Euthanasia Agency - License Renewal	50.00	50.00	0.00%	
Analytical Laboratory - Application Filing	100.00	100.00	0.00%	
Analytical Laboratory - License Renewal	50.00	50.00	0.00%	
Pharmacy Technician Application Fee	50.00	50.00	0.00%	27,700
Pharmacy Technician License Renewal	35.00	35.00	0.00%	
Pharmaceutical Administration Application Filing	100.00	100.00	0.00%	600
Pharmaceutical Admin. License Renewal	50.00	50.00	0.00%	
Physical Therapist				
Application Filing	60.00	60.00	0.00%	6,960
License Renewal	35.00	35.00	0.00%	
Physician/Surgeon				
Application Filing	150.00	150.00	0.00%	63,300
License Renewal	100.00	100.00	0.00%	667,400
Physician Assistant				
Application Filing	100.00	100.00	0.00%	5,000
License Renewal	50.00	50.00	0.00%	14,350
Plumber				
Application Filing	100.00	100.00	0.00%	41,800
License Renewal	50.00	50.00	0.00%	148,450
Podiatric Physician				
Application Filing	100.00	100.00	0.00%	800
License Renewal	50.00	50.00	0.00%	
Pre-Need Funeral Arrangement				

	<b>FY 1999</b>	<b>FY2000</b>		
	<b>Current</b>	<b>Proposed</b>	<b>Percent</b>	<b>Estimated</b>
	<b>Rate</b>	<b>Rate*</b>	<b>Inc./Dec.</b>	<b>Revenue</b>
Provider - Application Filing	100.00	100.00	0.00%	100
Provider - License Renewal	50.00	50.00	0.00%	
Sales Agent - Application Filing	40.00	40.00	0.00%	2,640
Sales Agent - License Renewal	30.00	30.00	0.00%	
Private Probation Provider				
Application Filing	75.00	75.00	0.00%	600
License Renewal	50.00	50.00	0.00%	
Professional Counselor				
Application Filing	75.00	75.00	0.00%	7,500
License Renewal	65.00	65.00	0.00%	
Post/Master Training	10.00	10.00	0.00%	
Coursework Review Fee	25.00	25.00	0.00%	
Psychologist				
Psychologist - Application Filing	100.00	100.00	0.00%	4,200
Psychologist - License Renewal	50.00	50.00	0.00%	
Radiology Technologist/Practical Technician				
Application Filing	60.00	60.00	0.00%	9,960
License Renewal	35.00	35.00	0.00%	
Recreational Vehicle Dealer				
Application Filing	15.00	15.00	0.00%	45
License Renewal	15.00	15.00	0.00%	615
Recreational Therapist				
Master/Therapeutic Recreation Specialist - Application Filing	60.00	60.00	0.00%	1,740
Master/Therapeutic Recreation Specialist - License Renewal	35.00	35.00	0.00%	
Therapeutic Recreation Technician - Application Filing	60.00	60.00	0.00%	2,580
Therapeutic Recreation Technician - License Renewal	35.00	35.00	0.00%	
Residence Lien Recovery Fund Registrants				
Initial Assessment	195.00	195.00	0.00%	
Noncontractor Registration	25.00	25.00	0.00%	
Post-claim laborer assessment	20.00	20.00	0.00%	
Claim Application Fee - Nonlaborers	75.00	75.00	0.00%	
Claim Application Fee - Laborers	15.00	15.00	0.00%	
Reinstatement of Lapsed Registration	100.00	100.00	0.00%	
Respiratory Care Practitioner				
Application Filing	50.00	50.00	0.00%	2,700
License Renewal	40.00	40.00	0.00%	
Security Services				
FBI Fingerprint File Search	24.00	24.00	0.00%	
BCI Fingerprint File Search	10.00	10.00	0.00%	
Contract Security Company Application Filing	300.00	300.00	0.00%	1,800
Contract Security Company Renewal	100.00	100.00	0.00%	5,900
Replace/Change Qualifier	40.00	40.00	0.00%	
Education Program Approval	300.00	300.00	0.00%	
Education Program Approval Renewal	100.00	100.00	0.00%	



	<b>FY 1999</b>	<b>FY2000</b>		
	<b>Current</b>	<b>Proposed</b>	<b>Percent</b>	<b>Estimated</b>
	<b>Rate</b>	<b>Rate*</b>	<b>Inc./Dec.</b>	<b>Revenue</b>
Alarm Response Runner Application Filing	40.00	40.00	0.00%	60
Alarm Response Runner Renewal	20.00	20.00	0.00%	9,400
Armed Private Security Officer Application Filing	40.00	40.00	0.00%	18,100
Armed Private Security Officer Renewal	20.00	20.00	0.00%	45,680
Unarmed Private Security Officer Application Filing	40.00	40.00	0.00%	70,620
Unarmed Private Security Officer Renewal	20.00	20.00	0.00%	
<b>Social Work</b>				
Clinical Social Worker - Application Filing	75.00	75.00	0.00%	8,850
Clinical Social Worker - License Renewal	65.00	65.00	0.00%	
Certified Social Worker - Application Filing	75.00	75.00	0.00%	9,975
Certified Social Worker - License Renewal	65.00	65.00	0.00%	
Social Service Worker - Application Filing	75.00	75.00	0.00%	15,300
Social Service Worker - License Renewal	65.00	65.00	0.00%	
Post Master Trainng	10.00	10.00	0.00%	
<b>Speech Pathologist/Audiologist</b>				
Speech Pathologist - Application Filing	60.00	60.00	0.00%	3,000
Speech Pathologist - License Renewal	35.00	35.00	0.00%	
Audiologist - Application Filing	60.00	60.00	0.00%	
Audiologist - License Renewal	35.00	35.00	0.00%	
<b>Licensed Substance Abuse Counselor</b>				
Application Filing	75.00	75.00	0.00%	12,000
License Renewal	65.00	65.00	0.00%	
<b>Veterinarian</b>				
Application Filing	100.00	100.00	0.00%	2,700
License Renewal	50.00	50.00	0.00%	
<b>Veterinarian Intern</b>				
Application Filing	25.00	25.00	0.00%	300
<b>UBC Surcharge</b>				
Building Permit Surcharge	variable	variable	0.00%	225,000
<b>UBC Seminar Fees</b>				
<b>Other</b>				
GRAMA Requests	\$10/hr+.15/pg	\$10/hr+.15/pg	0.00%	
Photocopies (per copy)	0.30	0.30	0.00%	
Booklets	5.00	5.00	0.00%	
Inactive/Reactivation/Emeritus License	50.00	50.00	0.00%	
Post/Master Training for Mental Health Therapist	10.00	10.00	0.00%	
Temporary License	50.00	50.00	0.00%	35,700
Late Renewal Fee	20.00	20.00	0.00%	
License/Registration Reinstatement	50.00	50.00	0.00%	
Duplicate License	10.00	10.00	0.00%	
Priority Processing Fee	75.00	75.00	0.00%	
Disciplinary File Search Per Order Document	10.00	10.00	0.00%	
<b>Securities Division</b>				
<b>Securities Registration</b>				
Qualification Registration	300.00	300.00	0.00%	2,700
Coordinated Registration	750.00	750.00	0.00%	159,000
Notification Registration	300.00	300.00	0.00%	
<b>Securities Exemptions</b>				

	<b>FY 1999</b>	<b>FY2000</b>	<b>Percent</b>	<b>Estimated</b>
	<b>Current</b>	<b>Proposed</b>	<b>Inc./Dec.</b>	<b>Revenue</b>
	<b>Rate</b>	<b>Rate*</b>		
<u>Investment Companies</u>	<u>0.00</u>	<u>500.00</u>		
All Other Securities Exemptions	60.00	60.00	0.00%	16,860
Transactional Exemptions				
Transactional Exemptions	60.00	60.00	0.00%	
No-action and Interpretative Opinions	120.00	120.00	0.00%	960
Licensing				
<u>Agent</u>	<u>30.00</u>	<u>45.00</u>	<u>50.00%</u>	<u>2,962,710</u>
Broker/Dealer	75.00	75.00	0.00%	123,075
Investment Adviser (new and renewal)	75.00	75.00	0.00%	37,875
Investment Adviser Representative (new and renewal)	30.00	30.00	0.00%	99,780
Certified Dealer				
New and Renewal	500.00	500.00	0.00%	7,000
Covered Securities Notice Filings				
Investment Companies	500.00	500.00	0.00%	2,000,000
All Other Covered Securities	60.00	60.00	0.00%	16,860
Federal Covered Adviser				
New and Renewal	75.00	75.00	0.00%	
Other				
GRAMA Requests	\$10/hr+.15/pg	\$10/hr+.15/pg	0.00%	
Photocopies (per copy)	0.30	0.30	0.00%	
Statute Booklet	2.00	2.00	0.00%	
Rules and Forms Booklet (Excluding SCOR)	3.50	3.50	0.00%	
Small Corp. Offering Registration (SCOR)	5.00	5.00	0.00%	
Postage and Handling	cost or \$2	cost or \$2	0.00%	
List of Licensees	cost or \$25	cost or \$25	0.00%	
Verification (per copy)	0.30	0.30	0.00%	
Returned Check Charge	15.00	15.00	0.00%	
License/Registration Certificate	10.00	10.00	0.00%	
Priority Processing Fee	75.00	75.00	0.00%	
<b>Consumer Protection Division</b>				
Charitable Solicitation Act				
Charity	100.00	100.00	0.00%	134,500
Professional Fund Raiser	250.00	250.00	0.00%	32,000
Information Card	2.00	2.00	0.00%	
Telephone Solicitation				
Telemarketing Registration	50.00	50.00	0.00%	3,400
Health Spa	100.00	100.00	0.00%	8,400
Credit Services Organization	50.00	50.00	0.00%	150
Business Opportunity Disclosure Registration	200.00	200.00	0.00%	12000 &88700
Other				
GRAMA Requests	\$10/hr+.15/pg	\$10/hr+.15/pg	0.00%	
Interpretive Opinions	150.00	150.00	0.00%	
Photocopies (per copy)	0.30	0.30	0.00%	
Booklet	cost or \$5	cost or \$5	0.00%	
List of Licensees	cost or \$25	cost or \$25	0.00%	

	<b>FY 1999</b>	<b>FY2000</b>		
	<b>Current</b>	<b>Proposed</b>	<b>Percent</b>	<b>Estimated</b>
	<b>Rate</b>	<b>Rate*</b>	<b>Inc./Dec.</b>	<b>Revenue</b>
Verification (per copy)	0.30	0.30	0.00%	
Returned Check Charge	15.00	15.00	0.00%	
Priority Processing Fee	75.00	75.00	0.00%	
<b>Corporations and Commercial Code</b>				
Articles of Incorporation				570,335
Profit	50.00	50.00	0.00%	
Nonprofit	20.00	20.00	0.00%	
Foreign	50.00	50.00	0.00%	
Corporate Sole	20.00	20.00	0.00%	
Requalification/Reinstatement				
Profit	50.00	50.00	0.00%	
Nonprofit	20.00	20.00	0.00%	
Changes of Corporate Status				57,208
Amend/Restate/Merge - Profit	25.00	25.00	0.00%	
Amend/Restate/Merge - Nonprofit	15.00	15.00	0.00%	
Amendment - Foreign	35.00	35.00	0.00%	
Annual Report				807,480
Profit	10.00	10.00	0.00%	
Nonprofit	5.00	5.00	0.00%	
Limited Partnership	10.00	10.00	0.00%	
Limited Liability Company	10.00	10.00	0.00%	
Late Fee	10.00	10.00	0.00%	
Certification				76,640
Corporate Standing - In House	10.00	10.00	0.00%	
<del>Corporate Standing - Datashare</del>	<del>5.00</del>	<del>0.00</del>	<del>(100.00%)</del>	
Corporate Standing - Long Form	20.00	20.00	0.00%	
Corporation Search				1,650
In House	10.00	10.00	0.00%	
Limited Partnership				50,265
Certificate	50.00	50.00	0.00%	
<u>Reinstate/Requalify</u>	<u>40.00</u>	<u>50.00</u>	<u>25.00%</u>	
Amend/Restate/Merge	25.00	25.00	0.00%	
DBA				471,810
Registration	20.00	20.00	0.00%	
Trademark				15,460
Registration	20.00	20.00	0.00%	
Assignments	5.00	5.00	0.00%	
Limited Liability Company				409,490
Articles of Organization	50.00	50.00	0.00%	
<u>Reinstate/Requalify</u>	<u>40.00</u>	<u>50.00</u>	<u>25.00%</u>	
Amend/Merge	35.00	35.00	0.00%	
Miscellaneous Transactions				232,987
Summons	10.00	10.00	0.00%	
Out of State Motorist Summons	5.00	5.00	0.00%	
Collection Agency Bond	30.00	30.00	0.00%	
Foreign Name Registration	20.00	20.00	0.00%	

	FY 1999 Current Rate	FY2000 Proposed Rate*	Percent Inc./Dec.	Estimated Revenue
Statement of Certification	10.00	10.00	0.00%	
Corporation Name Reservation	20.00	20.00	0.00%	
Telecopier Transmittal	5.00	5.00	0.00%	
Telecopier Transmittal (per page)	1.00	1.00	0.00%	
Commercial Code Lien Filings				343,227
Initial Filings				
UCC I Per Name with or without ID	10.00	10.00	0.00%	
<del>Per Name with ID Number</del>	<del>5.00</del>	<del>0.00</del>	<del>(100.00%)</del>	
<del>Attachment pages, per page</del>	<del>1.00</del>	<del>0.00</del>	<del>(100.00%)</del>	
UCC III Assignment/Amendment	10.00	10.00	0.00%	
<del>Assignment/Amendment with ID</del>	<del>5.00</del>	<del>0.00</del>	<del>(100.00%)</del>	
CFS - 1	10.00	10.00	0.00%	
CFS - 3	10.00	10.00	0.00%	
CFS - 2	5.00	5.00	0.00%	
Lien Search				47,942
Search	10.00	10.00	0.00%	
<del>DataShare - Individual</del>	<del>2.00</del>	<del>0.00</del>	<del>(100.00%)</del>	
<del>DataShare - UCC Information</del>	<del>5.00</del>	<del>0.00</del>	<del>(100.00%)</del>	
copies	.30 per pg	0.30 per pg		
Notary				102,781
<u>Bond and Certificate</u>	<u>15.00</u>	<u>20.00</u>	<u>33.33%</u>	
Bond Rider	5.00	5.00	0.00%	
Certificate	5.00	5.00	0.00%	
<u>Workshop Registration</u>	<u>4.00</u>	<u>10.00</u>	<u>150.00%</u>	
Digital Signatures				
Certification Authority Licensing	500.00	500.00	0.00%	1,500
<u>Recognition of repository</u>		<u>250.00</u>		<u>750</u>
Same Day Service	25.00	25.00	0.00%	
DataShare				
Base fee (per month)	10.00	0.00	(100.00%)	
Other				
GRAMA Requests	\$10/hr+.15/pg	\$10/hr+.15/pg		
Photocopies (per copy)	0.30	0.30		39,611
Statute Booklet	cost or \$5	cost or \$5		
List of businesses	cost or \$25	cost or \$25		
Returned Check Charge	15.00	15.00		
<b>Real Estate Division</b>				
Broker/Sales Agent				475,000
New Application (2 year)	100.00	100.00	0.00%	
Finger printing	34.00	34.00	0.00%	
Renewal	50.00	50.00	0.00%	
Appraisers				105,000
Certified - Application	200.00	200.00	0.00%	
Certified - Renewal	200.00	200.00	0.00%	64,000
Senior and Registered Appraisers - License	200.00	200.00	0.00%	1,800
National Register (Pass through)	50.00	50.00	0.00%	pass thru
Temporary Permit	100.00	100.00	0.00%	

	<b>FY 1999</b>	<b>FY2000</b>	<b>Percent</b>	<b>Estimated</b>
	<b>Current</b>	<b>Proposed</b>	<b>Inc./Dec.</b>	<b>Revenue</b>
	<b>Rate</b>	<b>Rate*</b>		
Miscellaneous				
Activation	15.00	15.00	0.00%	
New Company	25.00	25.00	0.00%	
Branch Office	25.00	25.00	0.00%	
Service Fees				4,400
Duplicate License	10.00	10.00	0.00%	
Certifications/Histories (up to 5 years)	10.00	10.00	0.00%	
Certifications/Histories (more than 5 years)	50.00	50.00	0.00%	
Subdivided Land				65,000
Exemption - HUD	100.00	100.00	0.00%	
Exemption - Water Corporation	50.00	50.00	0.00%	
Temporary Permit	100.00	100.00	0.00%	
Application	500.00+	500.00+	0.00%	
per unit charge over 30	3.00	3.00	0.00%	
Inspection Deposit	300.00	300.00	0.00%	7,500
Consolidation	200.00+	200.00+	0.00%	
per unit charge over 30	3.00	3.00	0.00%	
Renewal Report	200.00	200.00	0.00%	
Timeshare and Camp Resort				
Salesperson - New and Renewal	50.00	50.00	0.00%	
Registration	500.00+	500.00+	0.00%	
per unit charge over 100	3.00	3.00	0.00%	
Inspection Deposit	300.00	300.00	0.00%	
Consolidation	200.00+	200.00+	0.00%	
per unit charge over 100	3.00	3.00	0.00%	
Temporary Permit	100.00	100.00	0.00%	
Renewal Report	200.00	200.00	0.00%	
Supplementary Filing Fee	200.00	200.00	0.00%	
GRAMA Requests	\$10/hr+.15/pg	\$10/hr+.15/pg	0.00%	
<b>Real Estate Education</b>				included above
Broker/Dealer	36.00	36.00	0.00%	
Agent	24.00	24.00	0.00%	
Certifications				
Real Estate Prelicense Course Certification	25.00	25.00	0.00%	
Appraiser Prelicense Course Certification	25.00	25.00	0.00%	
Real Estate Continuing Ed. Course Certification	35.00	35.00	0.00%	
Real Estate Prelicense Instructor Certification	15.00	15.00	0.00%	
Real Estate Continuing Ed. Instructor Certification	15.00	15.00	0.00%	
Appraiser Prelicense Instructor Certification	15.00	15.00	0.00%	
Other				
GRAMA Requests	\$10/hr+.15/pg	\$10/hr+.15/pg	0.00%	
Photocopies (per copy)	0.30	0.30	0.00%	
List of Licensees	cost or \$25	cost or \$25	0.00%	
Verification (per copy)	1.00	1.00	0.00%	
Returned Check Charge	15.00	15.00	0.00%	

	<b>FY 1999</b>	<b>FY2000</b>	<b>Percent</b>	<b>Estimated</b>
	<b>Current</b>	<b>Proposed</b>	<b>Inc./Dec.</b>	<b>Revenue</b>
	<b>Rate</b>	<b>Rate*</b>		
Late Renewal Fee	10.00	10.00	0.00%	
License Registration Reinstatement	50.00	50.00	0.00%	
Priority Processing Fee	75.00	75.00	0.00%	
Laws and Rules	3.00	3.00	0.00%	
if mailed	5.00	5.00	0.00%	
No Action Letter	120.00	120.00	0.00%	
Interpretive Opinions	150.00	150.00	0.00%	
Copy of USPAP(uniform standard)				
If Mailed				
<b>Division of Public Utilities</b>				
Other				
GRAMA Requests	\$10/hr+.15/pg	\$10/hr+.15/pg	0.00%	
Photocopies (per copy)	0.30	0.30	0.00%	
Booklets	cost or 5.00	cost or 5.00	0.00%	
Returned Check Charge	15.00	15.00	0.00%	

No fee charged and collected by the Department will be refunded for failure to quality or voluntarily or involuntarily withdrawal of an application or request for service.

Overpayment in excess of \$10.00 will be automatically refunded. Smaller overpayments will be refunded only upon written request.